PATENT



Receipt

IBM/177 Confirmation No. 3237

PECEIVED

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Miller et al.

Art Unit: 2171

Serial No.: 09/845,596

Examiner: Unknown

Filed:

April 30, 2001

Atty. Docket No.: ROC9-20000273US1 (IBM/177)

For:

GROUP ACCESS PRIVATIZATION IN CLUSTERED COMPUTER SYSTEM

TRANSMITTAL

Assistant Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, DC 20231

Sir:

We are transmitting herewith the attached:

Transmittal (in duplicate) containing Certificate of Mailing Under 37 CFR 1.8 Request for Corrected Filing Receipt Copy of Filing Receipt Noting Correction Reply Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 23-3000. A duplicate of this sheet is enclosed.

WOOD, HERRON & EVANS, L.L.P.

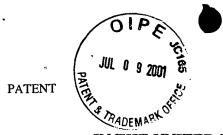
2700 Carew Tower 441 Vine Street Cincinnati, Ohio 45202 (513) 241-2324

Date: 5 JULY 700)

CERTIFICATE OF MAILING 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on July 5, 2001.

Scott A. Stinebruner Reg. No. 38,323



IBM/177 Confirmation No. 3237

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Miller et al.

Art Unit: 2171

Serial No.: 09/845,596

Examiner: Unknown

Filed:

April 30, 2001

Atty. Docket No.: ROC9-20000273US1 (IBM/177)

For:

GROUP ACCESS PRIVATIZATION IN CLUSTERED COMPUTER SYSTEM

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

Sir:



This letter is for the purpose of requesting a corrected filing receipt for the above-identified patent application. The filing receipt received from the United States Patent and Trademark Office contains a typographical error in the title; namely, the word "ACESS" should be "ACCESS." A copy of the original Filing Receipt with the corrections noted is enclosed.

Applicants respectfully request that a corrected filing receipt be issued in this matter. If the Office has any questions regarding the foregoing, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

5 JULY 2001

Scott A. Stinebruner

Reg. No. 38,323

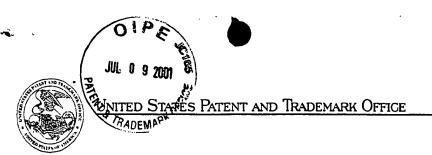
WOOD, HERRON & EVANS, L.L.P.

2700 Carew Tower

441 Vine Street

Cincinnati, Ohio 45202

(513)241-2324



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS 09/845,596 04/30/2001 2171 916 IBM/177 27

CONFIRMATION NO. 3237

FILING RECEIPT

QC000000006221628

Scott A. Stinebruner Wood, Herron & Evans, L.L.P. 2700 Carew Tower 441 Vine Street Cincinnati, OH 45202-2917

Date Mailed: 06/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Miller, Rochester, MN; Vicki Lynn Morey, Pine Island, MN: Laurie Ann Williams, Rochester, MN;

Assignment For Published Patent Application

Technology Center 2100 INTERNATIONAL BUSINESS MACHINES CORPORATION, ARMONK, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/23/2001

Projected Publication Date: 10/31/2002

Non-Publication Request: No

Early Publication Request: No

Title

ACCESS

Group acess privatization in clustered computer system

Preliminary Class

707

Data entry by : IBRAHIM, SADIE

Team : OIPE

Date: 06/25/2001

Directions of the second of th



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

RECEIVED

Technology Center 2100

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application.

 They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

THIS PAGE BLANK (USPTO)



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

CONFIRMATION NO. 3237

Bib Data Sheet FILING DATE ATTORNEY **CLASS GROUP ART UNIT SERIAL NUMBER** 04/30/2001 DOCKET NO. 707709 09/845,596 2471 RULE IBM/177 2157 **APPLICANTS** Robert Miller, Rochester, MN; Vicki Lynn Morey, Pine Island, MN; Laurie Ann Williams, Rochester, MN; IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/23/2001 Foreign Priority claimed ues Ino STATE OR **SHEETS TOTAL** INDEPENDENT uges uno uge Met after 35 USC 119 (a-d) conditions COUNTRY **DRAWING CLAIMS CLAIMS** met Allowance MN 2 27 Verified and Examiner's Signature Acknowledged ADDRESS Scott A. Stinebruner Wood, Herron & Evans, L.L.P. 2700 Carew Tower 441 Vine Street Cincinnati ,OH 45202-2917 TITLE Group access privatization in clustered computer system All Fees 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of FEES: Authority has been given in Paper FILING FEE No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: time) RECEIVED 916 1.18 Fees (Issue) Other Credit